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5 **Operational perspective of remote sensing-based forest fire danger forecasting systems**

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20

1 **Abstract:**

2 Forest fire is a natural phenomenon in many ecosystems across the world. One of the most  
3 important components of forest fire management is the forecasting of fire danger conditions.  
4 Here, our aim was to critically analyse the following issues, (i) current operational forest fire  
5 danger forecasting systems and their limitations; (ii) remote sensing-based fire danger  
6 monitoring systems and usefulness in operational perspective; (iii) remote sensing-based fire  
7 danger forecasting systems and their functional implications; and (iv) synergy between  
8 operational forecasting systems and remote sensing-based methods. In general, the operational  
9 systems use point-based measurements of meteorological variables (e.g., temperature, wind  
10 speed and direction, relative humidity, precipitations, cloudiness, solar radiation etc.) and  
11 generate danger maps upon employing interpolation techniques. Theoretically, it is possible to  
12 overcome the uncertainty associated with the interpolation techniques by using remote sensing  
13 data. During the last several decades, efforts were given to develop fire danger condition  
14 systems, which could be broadly classified into two major groups: fire danger monitoring and  
15 forecasting systems. Most of the monitoring systems focused on determining the danger during  
16 and/or after the period of image acquisition. A limited number of studies were conducted to  
17 forecast fire danger conditions, which could be adaptable. Synergy between the operational  
18 systems and remote sensing-based methods were investigated in the past but too much complex  
19 in nature. Thus, the elaborated understanding about these developments would be worthwhile to  
20 advance research in the area of fire danger in the context of making them operational.

21 **Keywords:** fire occurrence; meteorological/environmental variables; system development;  
22 spatial dynamics; optical/ thermal/ radar imaging

23

## 1 **1. Introduction**

2 Forest fire is a natural phenomenon in many ecosystems across the world. It is considered as an  
3 ecological disturbance which is responsible for burning about 350 million hectares of forested  
4 land per annum on an average-basis (FAO 2007). It has both negative and positive consequences  
5 on the ecosystem and impacts us in many ways (Bleken et al., 1997; Martell, 2011). In general, it  
6 is perceived as a threat (Amiro et al., 2009; Huesca et al., 2009, Sifakis et al., 2011), because the  
7 burning of forest causes: economic losses [e.g., average US\$ 2.4 billion per annum between  
8 2002-2011 period as a result of biomass burning (Chatenoux and Peduzzi, 2012)]; release of CO<sub>2</sub>  
9 into the atmosphere [e.g., the 1997 Indonesian wildfires have released about 13-40% of average  
10 annual global carbon emissions produced by the use of fossil fuels (Page et al., 2002)]; and  
11 health hazard due to smoke [e.g., inhalation of toxic gases from smoke worsen the heart and lung  
12 diseases, cough and breath, sore eyes, tears etc. (Stefanidou et al., 2008)]. In addition, large fires  
13 can potentially kill the firefighters [e.g., in the United States 1144 firefighters killed during the  
14 1994-2004 period (Kales et al., 2007)] and destroy human settlements [e.g., the 2011 Slave Lake  
15 fire in Alberta, Canada has destroyed 40% of the town that includes 454 dwellings, public  
16 library, town hall and office buildings costing CAD\$ 700 million (CBC News, 2011; FTCWRC,  
17 2012)]. However, forest fires have also many benefits, such as regulating fuel accumulations,  
18 regeneration of vegetation by removing fungi and microorganisms, disease and insect control,  
19 receive more energy through exposure to solar radiation, mineral soil exposure and nutrient  
20 release (Bond et al., 2005; Ruokolainen and Salo, 2009; Pausas and Paula, 2012). Besides these,  
21 recent concerns with climate change are forcing a high level of interest in quantifying its impact  
22 on forest fire regimes (Flannigan et al., 2009; Loehman et al., 2011). Thus developing an

1 efficient forest fire management system is necessary to reduce the losses and enhance the  
2 benefits from wildfires (Stocks et al., 1989; de Groot et al., 2003; Leblon et al., 2012).

3  
4 One of the most important components of integrated forest fire management is the forecasting of  
5 fire danger conditions (i.e., chance of fire occurrences). In general, the fire danger conditions are  
6 dynamic in both spatial and temporal dimensions (Vasilakos et al., 2009; Chuvieco et al., 2010;  
7 Saglam et al., 2008), and highly dependable on a set of factors. Those include: meteorological  
8 variables [e.g., temperature, wind speed and direction, relative humidity (RH), precipitation,  
9 etc.]; fuel conditions (e.g., live and dead fuel load, and fuel moisture content); topography (e.g.,  
10 elevation, aspect, and slope); and sources of ignition such as human interferences (e.g., arson) or  
11 natural causes (e.g., lightning) (Jain et al., 1996; Chuvieco et al., 2004a; Adab et al., 2012).  
12 Among these factors, the topography is usually static in the temporal dimension, and influences  
13 the fire behavior (i.e., intensity and spreading after the ignition) to a large extent (Carlson and  
14 Burgan, 2003). As such, the fire danger conditions can be depicted as a function of  
15 meteorological variables and forest fuel conditions (also both of them are highly interrelated);  
16 while fire occurrences rely on the source of ignition (Wotton, 2009; Running and Coughlan,  
17 1988; Malone et al., 2011).

18  
19 It is interesting to mention that most of the operational forest fire danger forecasting systems  
20 across the world are primarily based on meteorological variables (Allgöwer et al., 2003; Abbott  
21 et al., 2007). Among the existing operational systems, the most prominent ones are the Canadian  
22 Fire Weather Index (FWI) System, US National Fire Danger Rating System (NFDRS),  
23 Australian McArthur Forest Fire Danger Rating System (FFDRS), and Russian Nesterov Index.

1 These systems consist of the three following modules: (i) acquisition of meteorological variables  
2 at point locations over an area of interest; (ii) generate the surface maps for the variable of  
3 interest using geographic information system (GIS)-based interpolation techniques (e.g., inverse  
4 distance weighting, spline, kriging etc.); and (iii) forecast the spatial dynamics of the fire danger  
5 conditions at landscape level. Note that various GIS-based interpolation techniques could  
6 potentially generate different map outputs using the same input variables (Chilès and Delfiner,  
7 2012). In order to avoid these uncertainties, the remote sensing-based methods had shown  
8 usefulness due to their ability to view larger geographic extents in a timely manner. Thus,  
9 researchers had given significant efforts in incorporating remote sensing-derived variables in  
10 forest fire danger management activities (Aguado et al., 2003; Bajocco et al., 2010; Chuvieco et  
11 al., 2004b; Rahimzadeh-Bajgiran et al., 2012). Such attempts could be broadly categorized into  
12 two distinct groups: fire danger monitoring, and fire danger forecasting.

13  
14 During the last several decades, remote sensing-based methods have been developed for  
15 monitoring the fire danger conditions. Most of these methods employed the remote sensing-  
16 derived environmental variables to assess the fire danger conditions during and/or after the fire  
17 events. As such, these methods would unable to forecast fire danger conditions; however, they  
18 might be useful in exploiting relationships between environmental variables and fire occurrences.  
19 In case of forecasting the fire danger conditions, some remote sensing-derived environmental  
20 variables had also been used, such as surface temperature ( $T_s$ ) and normalized difference  
21 vegetation index (NDVI: an indicator of vegetation greenness) (Oldford et al., 2003);  $T_s$ , NDVI  
22 and water deficit index (WDI: soil and vegetation canopy water stress) (Vidal and Devaux-Ros,  
23 1995);  $T_s$  condition prior to fire occurrence (Guangmeng and Mei, 2004);  $T_s$ , normalized multi-

1 band drought index (NMDI: a measure of water content measurement in the vegetation canopy)  
2 and temperature-vegetation wetness index (TVWI: an indirect way of estimating soil water  
3 content) (Akther and Hassan, 2011a); and  $T_s$ , NMDI, and NDVI (Chowdhury and Hassan,  
4 2013). Though these developments demonstrated their capabilities of forecasting fire danger  
5 conditions; however, further research would be required in enhancing both spatio-temporal  
6 resolutions, predicting the values in the event of cloud-contamination, and incorporating other  
7 remote sensing-derived meteorological variables (e.g., relative humidity, precipitation, etc.). In  
8 addition, these systems must be calibrated and validated prior to implementing over a new  
9 ecosystem of interest. Here, the goals of this paper were to review four major issues, such as (i)  
10 current operational forest fire danger forecasting systems and their limitations; (ii) remote  
11 sensing-based fire danger monitoring systems and effectiveness as an operational one; (iii)  
12 remote sensing-based fire danger forecasting systems and their functional implications; and (iv)  
13 synergy between operational forecasting systems and remote sensing-based methods.

14

## 15 **2. Current operational forest fire danger rating systems**

16 Fire danger rating systems have been in operation in many countries around the world, especially  
17 in Canada, Australia, Russia and the United States (Stocks et al., 1989; Luke and McArthur,  
18 1978; Deeming et al., 1978). The danger rating is a systematic process to estimate and integrate  
19 the variables of interest of the fire environment to quantify the potential of fire start, spread and  
20 impact in the form of fire danger (Merrill and Alexander, 1987; Sebastián-Lopez et al., 2008;  
21 Albini, 1976; Rothermel et al., 1986; Deeming et al., 1972). These numerical ratings of fire

1 potential are used in fire management both in wildfires and prescribed fires. The following  
2 sections describe the most prominent operational fire danger rating systems and their limitations.

### 3 *2.1 Fire Weather Index (FWI) System in Canada*

4 The FWI system has been widely used in Canada for fire danger forecasting since the 1980's,  
5 which is designed based on the characteristics of the Canadian forested ecosystems (CFS, 1984;  
6 van Wagner, 1987). It is the most established system, which are being implemented in many  
7 parts of the world, e.g., New Zealand (Alexander and Fogarty, 2002), Alaska (Alexander and  
8 Cole, 2001), Mexico (Lee et al., 2002), Argentina (Taylor, 2001), European countries (i.e.,  
9 Sweden, Portugal, Spain) (Granstrom and Schimmel, 1998; San-Miguel-Ayanz et al., 2003a;  
10 Viegas et al., 1999), and eastern Asia (i.e., Indonesia, Malaysia) (de Groot et al., 2007). These  
11 wider adaptations have been possible as the FWI system solely uses four meteorological  
12 variables as input ones (i.e., temperature, wind speed, relative humidity at noon time; and  
13 accumulated precipitation during earlier 24-hrs). The FWI system produces six indices on the  
14 basis of a reference fuel type (e.g., mature pine stands for Canadian ecosystems) (van Wagner,  
15 1987) (see Fig. 1 for details). These indices include: fine fuel moisture code (FFMC) calculated  
16 as a function of temperature, wind speed, relative humidity, and precipitation; duff moisture code  
17 (DMC) as a function of temperature, relative humidity, and precipitation; drought code (DC) as a  
18 function of temperature, and precipitation; initial spread index (ISI) as a function of FFMC and  
19 wind speed; buildup index (BUI) as a function of the DMC and DC; and fire weather index  
20 (FWI) as a function of ISI and BUI.

21  
22 **Fig. 1**



1 Nesterov's index considers the sum of all the preceding values in each day having precipitation  
2 less than 3 mm and the previous day's index. If the precipitation in a particular day is 3 mm or  
3 more, then the index is "zeroed" and a new index is computed based on the current day  
4 meteorological variables (Khan, 2012). Further changes of the Nesterov's index have been  
5 carried out by considering the forest fire drought indices or moisture indices PV-1 (i.e., related to  
6 moisture content of moss/top layer) and PV-2 (i.e., related to moisture content of duff layer)  
7 (Vonsky and Zhdanko, 1976).

8  
9 **Fig. 3**

10  
11 *2.4 National Fire Danger Rating System (NFDRS) in USA*

12 The NFDRS operational system was first released for public use in 1972 in the United States.  
13 This system is a complex operational system that uses a set of user defined constants, several  
14 meteorological variables, fuel types, both live and dead fuel moisture, and generates output at  
15 different tiers of operation and illustrated in Fig. 4 (Burgan et al., 1988; Deeming et al., 1972;  
16 Bradshaw et al., 1983). It requires two sets of inputs, such as site description that includes fuel  
17 model, slope class, live fuel types, climate class, latitude, and average annual precipitation; and  
18 daily meteorological observations acquired at 1300 hr. local time that includes dry bulb  
19 temperature, relative humidity, dew point, wind speed, wind direction, state of weather  
20 (illustrating information on stage of cloud, precipitation, fog, and thunderstorms/lightning), and  
21 solar radiation. In addition another index namely KBDI (Burgan et al., 1988, Andrews et al.,  
22 2005) are also used as an external response to the system. This system generates two tiers of  
23 outputs. Firstly, the intermediate outputs (that serve as pre-processor for the next day's

1 processing) are the estimation of: (i) live fuel moisture for woody and herbaceous (i.e., expressed  
2 as percentage of the oven dry weight of the sample); and (ii) dead fuel moisture (i.e., moisture  
3 content of the dead organic fuels on the forest floor which consisted of 1-hr, 10-hr, 100-hr and  
4 1000-hr time lag fuels derived as function of temperature, precipitation, cloudiness and relative  
5 humidity). Finally, the NFDRS provides four major fire behavior components and indices  
6 (calculated by using the Rothermel (1972) mathematical fire spread model), i.e., spread  
7 component (SC) is the predicted rate of spread (calculated as a function of wind speed, slope,  
8 fine fuel moisture, live woody fuel moisture); ignition component (IC) is the likelihood of a  
9 reportable fire from firebrand that needs suppression (calculated as function of fine fuel moisture  
10 and SC); energy release component (ERC) is the total energy released during flaming of a fire  
11 (calculated considering the dead and live fuel moisture); and burning index (BI) as function of  
12 SC and ERC, which is used as a fire danger indicator by most of the fire managers.

13  
14 **Fig. 4**

15  
16 *2.5 Limitations of the operational systems*

17 All of the major operational systems described in the earlier sub-sections, in general, suffer from  
18 the following drawbacks, such as:

- 19 (i) All the operational systems are based on point-source meteorological data, located sparsely  
20 in a vast geographic extent. In general, the forecasting of danger conditions at or near  
21 meteorological stations resembles more accurate information compared to other parts of  
22 the landscape. In order to address this, it required installation of more meteorological

1 stations (Hijmans et al., 2005; King et al., 1976), which would be quite expensive in terms  
2 of installation and maintenance, data collection and it's processing.

3 (ii) To delineate the spatial dynamics of the fire danger conditions the point-source  
4 observations of meteorological variables are used in the scope of all of the operational  
5 systems. In general, GIS based interpolation techniques are adopted to generate the surface  
6 maps of the variable of interest. It is worthwhile to emphasize that employment of different  
7 interpolation methods can produce different map outputs using the same input variables  
8 (Oldford et al., 2006; Leblon et al., 2005; Longley et al., 2010), thus forecasting of danger  
9 conditions over a large forested area limits the usability of the operational systems (Leblon  
10 et al., 2012).

11 (iii) All the operational systems except the Russian Nesterov Index consider the dead fuel  
12 moisture as the danger indicator; however, the fire danger conditions may also depend on  
13 live fuel moisture conditions (Bajoocco et al., 2010; De Angelis et al., 2012; Yebra et al.,  
14 2013). In fact, the live fuel moisture condition is a critical variable in defining fire danger  
15 conditions as it is closely related to the flammability of the live fuels and also propagation  
16 characteristics of fire.

17 (iv) Apart from the Russian Nesterov Index system, a limited number of fuel types have been  
18 considered in the scope of all of the operational systems. These fuel-specific parameters  
19 (e.g., ignition temperature of woody material, rates of combustion, and extinction of  
20 moisture from vegetation etc.) are determined by laboratory-based experiments (Wilson,  
21 1985, 1990; Byram, 1963; Nelson, 1984). Thus, the characteristics of additional fuel types  
22 are required to be determined in the event of implementing these systems over other  
23 ecosystems.

- 1 (v) In the framework of both Australian FFDRS and US NFDRS systems, KBDI has been used  
2 as a proxy of soil water content. The calculation of KBDI can be improved by  
3 incorporating the duration and intensity of precipitation (San-Miguel-Ayanz et al., 2003b).
- 4 (vi) In general, the fire danger rating systems are fairly complex from an operational point of  
5 view and need complex data inputs in most of the instances (Lawler, 2004).
- 6

### 7 **3. Remote sensing-based fire danger monitoring**

8 Remote sensing-based fire danger monitoring is the act of delineating danger conditions at the  
9 current time. It consists of the following four stages: acquisition of the remote sensing data of  
10 interest; calculation of remote sensing-derived variables/indices relevant to danger conditions;  
11 establishment of the relation between remote sensing-derived variables and danger-related  
12 indicators; and generation of the danger map. In terms of remote sensing-derived variables, these  
13 can be broadly grouped into several categories, e.g., vegetation greenness; meteorological  
14 variables; surface wetness conditions calculated by exploiting the relations between  $T_s$  and  
15 vegetation indices; and vegetation wetness condition, which are described in the following  
16 subsections.

#### 17 *3.1 Vegetation greenness*

18 Among the various vegetation greenness-related indices, the commonly used ones are: NDVI  
19 (i.e., calculated as function of surface reflectance of red [0.60-0.70  $\mu\text{m}$ ] and near infrared (NIR)  
20 [0.70-0.90  $\mu\text{m}$ ] spectral bands) (Rouse et al., 1973); soil adjusted vegetation index (SAVI:  
21 calculated as a function of red and NIR spectral bands) (Huete, 1988); global environmental  
22 monitoring index (GEMI: function of red and NIR spectral bands) (Pinty and Verstraete, 1992);

1 relative greenness (RG: function of seasonal dynamics of NDVI or visible atmospherically  
2 resistant index (VARI: function of blue, green [0.50-0.60  $\mu\text{m}$ ] and red spectral bands) (Burgan  
3 and Hartford, 1993; Kogan, 1990; Gitelson et al., 2002); and enhanced vegetation index [EVI:  
4 function of blue [0.40-0.50  $\mu\text{m}$ ], red and NIR spectral bands (Huete et al., 2002)]. Table 1  
5 summarizes some of the example cases of these vegetation greenness indices in monitoring the  
6 fire danger conditions reported in the literature.

7  
8 **Table 1**

9  
10 *3.2 Meteorological variables*

11 Remote sensing-based meteorological variables (e.g.,  $T_s$ ,  $T_a$ , and RH) were used in monitoring  
12 fire danger conditions. For example: (i) AVHRR 10-day composite of  $T_s$  images were used in  
13 the boreal forests of northern Alberta and southern Northwest Territories, Canada (Leblon et al.,  
14 2007). The individual compositing period and cumulative  $T_s$  were correlated with the DC values  
15 of the Canadian FWI system. It was found that the cumulative  $T_s$  performed better than the  
16 individual  $T_s$  (i.e.,  $r^2$  value in the range of 0.32-0.76); (ii) Dead fuel moisture content was  
17 estimated using Meteosat Second Generation Spinning Enhanced Visible and Infrared Imager  
18 (MSG-SEVIRI) remote sensing data in the Iberian Peninsula of Spain (Nieto et al., 2010). In this  
19 study, two meteorological variables, such as the  $T_a$  (calculated by exploiting  $T_s$  and NDVI  
20 scatterplot) and RH (as a function of vapor pressure and precipitable water content) were  
21 derived. These were combined to calculate the equivalent moisture content of vegetation and  
22 observed promising results (i.e., mean errors ranging from 1.9% to 2.7%); (iii) The dead fuel  
23 moisture codes of the FWI system (i.e., DC and DMC) were modeled using 10-day composite of

1 AVHRR  $T_S$  images over the boreal forests in northern Alberta and the southern Northwest  
2 Territories of Canada (Oldford et al., 2006). The  $T_S$  was revealed good correlation with the DMC  
3 during the spring season (i.e.,  $r^2$  value of 0.34); and (iv) AVHRR-derived monthly composite of  
4  $T_S$  were used to determine the fire risk indicator over the temperate forest in Central Mexico.  
5 During the period of November-February, the maximum and minimum values of  $T_S$  values were  
6 computed and then generated the difference between them. These differences were evaluated  
7 against the actual fire occurrences and found that ~60% of the fires took place when they were  
8 between 8-15 °C (Manzo-delgado et al., 2004).

### 9 *3.3 Surface wetness conditions*

10 For the last two decades, the relationship between vegetation index (VI) and  $T_S$  variables were  
11 exploited for estimating the surface wetness conditions. In the literature, several studies had  
12 demonstrated the effectiveness of  $T_S$ -VI in monitoring fire danger conditions, e.g., (i) 10-day  
13 composite of AVHRR-derived NDVI and  $T_S$  images were used to calculate the slope between  
14 them that acted as a fire danger indicator (i.e., decrease in slope was related to increases in water  
15 stress) over the Mediterranean forest in east Spain (Illera et al., 1996). The derived slopes were  
16 found to detect approximately 68% of the fire events while the slopes were having a decreasing  
17 trend; (ii) 10-day composite of AVHRR-derived NDVI/ $T_S$  ratio, RG and accumulated sunshine  
18 hours (meteorological data) were integrated and found good agreement with the DC values of the  
19 Canadian FWI system (i.e.,  $r^2$  value of 0.79) over the Mediterranean forest in south Spain  
20 (Aguado et al., 2003); (iii) 8-day composite of AVHRR-derived NDVI and  $T_S$  in conjunction  
21 with the day of year were employed for estimating the fuel moisture content as part of fire  
22 danger rating over the Mediterranean grasslands and shrubs in Spain (Chuvieco et al., 2004c).  
23 The model showed good agreements with the ground-based estimates of fuel moisture content

1 (FMC) (i.e.,  $r^2$  values greater than 0.8 for both grass and shrubs); and (iv) MODIS-derived 8-day  
2 composite of  $T_S$  and 16-day composite of EVI data were used to develop a disturbance index  
3 (DI) over a broad range of bioclimatic regions in the western United States (Mildrexler et al.,  
4 2007). The DI values were generated using the annual maximum  $T_S$ /EVI ratios to multi-year  
5 mean values. Under normal conditions (i.e., absence of disturbance) the DI value would be  $\sim 1.0$   
6 and in case of wildfire, it would be  $>1.0$  (i.e.,  $T_S$  would increase and EVI would decrease for the  
7 current year compared to multi-year mean value). Comparison of the DI values ( $>1.64$ ) against  
8 MODIS active fire data and other fire perimeter maps found close correspondence.

### 9 *3.4 Vegetation wetness condition*

10 Several indices representing vegetation wetness conditions [i.e., calculated as a function of NIR  
11 and shortwave infrared (SWIR) spectral bands] were implemented to determine the fuel moisture  
12 content as an indicator of fire danger. The commonly used indices include: NMDI, normalized  
13 difference water index (NWDI), simple relation water index (SRWI), normalized difference  
14 infrared index (NDII), global vegetation moisture index (GVMI), canopy water content (CWC),  
15 water index (WI), and moisture stress index (MSI). Some of the example cases by use of these  
16 indices are summarized in Table 2.

17  
18 **Table 2**  
19

### 20 *3.5 Fire danger monitoring using SAR images*

21 In addition to optical and thermal remote sensing data for monitoring forest fire danger  
22 conditions, a number of studies had been carried out to assess the possibilities of using Synthetic

1 Aperture Radar (SAR). The SAR was used due to its ability to capture images independently  
2 from daylight, cloud coverage and weather conditions. In particular to forest coverage, the  
3 backscatter energy received by the sensors depends on the moisture conditions of the forest floor,  
4 canopy and precipitation events which could be utilized for describing the fire danger conditions.  
5 Some such studies using SAR images are as follows: (i) ERS-1 SAR data were used to assess the  
6 dead fuel moisture conditions over the northern boreal forest in Northwest Territories, Canada  
7 (Leblon et al., 2002); and good relationships were found between the radar backscatter and FWI  
8 codes (i.e.,  $r^2$  values in between 0.30 and 0.40 for DMC, DC and BUI); (ii) ERS-1 and ERS-2  
9 SAR-derived backscatter values were used to calculate the DC values of the FWI system over  
10 boreal forests of Alaska, USA (Bourgeau-Chavez et al., 2007); and found to have reasonable  
11 agreements (i.e.,  $r^2$  values  $\sim$  0.64); and (iii) Radarsat-1 images were used to extract the  
12 backscatter values over the northern boreal forest in south-central of Northwest Territories,  
13 Canada (Abbott et al., 2007); and the comparison of radar backscatter values were found to have  
14 a strong relationship with the FWI codes (i.e.,  $r^2$  values in between 0.68-0.83, 0.77-0.82, 0.72-  
15 0.86, and 0.62-0.85 for DMC, DC, BUI and FWI respectively).

### 16 *3.6 Limitations of remote sensing-based monitoring systems*

17 The review of the remote sensing-based monitoring systems revealed that the accuracies of the  
18 environmental variables as a fire danger indicator have shown a wide range of  $r^2$  values. As fire  
19 occurrences depend on both meteorological and biophysical variables, thus, the use of single  
20 variable might not able to show the fire danger conditions appropriately due to the following  
21 reasons:

- 22 (i) Vegetation greenness-related variables are slow responding ones, which reflects long-term  
23 conditions (i.e., does not change over short period even though drought persists in

1 vegetation) (Leblon et al., 2001; Vicente-Serrano et al., 2012) and relates to several other  
2 variables, such as sunlight; temperature; soil moisture; and inter and intra species  
3 competition.

4 (ii) The precisions observed using the meteorological variable  $T_S$  found to be varied  
5 considerably due to several reasons, e.g., the sensor signals might be saturated due to high  
6 temperature difference between fires and earth's surface (Realmuto et al., 2011); low  
7 spatial resolution of  $T_S$  might lessen the circumstantial information (Leblon et al., 2007);  
8 fires manifest a diurnal cycle (Zhang et al., 2011; Beck et al., 2001) which might be biased  
9 due to observation in fixed time by the sensors; and heterogeneous properties of the  
10 emissivity of the land surface.

11 (iii) Combination of  $T_S$ -VI would not be suitable over topographically variable terrains  
12 (Carlson, 2007). It is the case as  $T_S$  is often lower in high elevation areas compared to low-  
13 lying areas within the same geographical region. As such, employment of non-elevation  
14 corrected  $T_S$  images could incorrectly delineate that surface wetness conditions in upland  
15 areas are wetter than in low-lying areas (Hassan et al., 2007; Akther and Hassan, 2011b).

16 (iv) Application of vegetation wetness condition using NIR and SWIR spectral bands have  
17 several limitations, such as vegetation moisture estimation is an approximation method  
18 (both field and remote sensing); difficult to measure EWT at field level (Chuvieco et al.,  
19 2003); relationship between FMC/EWT and vegetation moisture are species-specific (thus  
20 understanding of biophysical properties of species mixtures would be useful); and SWIR  
21 generally affected by other factors (e.g., vegetation canopy, illumination and viewing  
22 positions, and soil characteristics), etc. Also issues like quantification the error-levels of

1 the remote sensing-derived FMC values and their implementation in the scope of  
2 operational fire danger forecasting systems pose enormous challenges (Yebra et al., 2013).

- 3 (v) SAR usually provides higher resolution images, but has an inherent problem of speckles  
4 which look as a grainy texture due to random constructive and destructive interference  
5 from the multiple scattering. Other problems that are noticeable includes, e.g., right angle  
6 surfaces causes double bounce reflection; volume scattering may occur when the radar  
7 beam penetrates the top most surface; and the brightness of the image increase due to high  
8 moisture content of the target surface (Moreira et al., 2013). Moreover, the radar operates  
9 under commercial mode and the revisits time period is quite long (i.e., ERS-1/2 repeat  
10 cycle is around 35 days compared to Radarsat-1/2 almost 24 days coverage) (Joyce et al.,  
11 2009; Leblon et al., 2012) which limits capturing the temporal dynamics of the moisture  
12 conditions. On the contrary, some of the optical and thermal remote sensing images (e.g.,  
13 AVHRR, MODIS, Landsat, etc.) are completely free for public uses and also the temporal  
14 resolution of these images are relatively higher, e.g., AVHRR and MODIS at daily and  
15 Landsat at 16-days.

16  
17 In addition to the above mentioned limitations of the remote sensing-based fire danger  
18 monitoring methods, in principle, have suffered much from the operational perspective. Because  
19 fire danger condition cannot be monitored as it portrays futuristic events (i.e., the occurrences of  
20 the fire events have not been materialized). However, the fire occurrences could be monitored  
21 using the current time variables and helpful in assessing the forest fire related disaster. Moreover,  
22 MODIS-based fire detection data are available at a daily temporal scale which is well accepted,  
23 fully operational and used by the fire managers for monitoring purposes. So, the remote sensing-

1 based methods developed during the past several decades mostly suffer from the forecasting  
2 capabilities, and not considered as operational ones.

#### 3 **4. Remote sensing-based fire danger forecasting systems**

4 In addition to the above remote sensing-based monitoring techniques described in section 3, it  
5 would be worthwhile to note that a limited number of studies had found in the literature on the  
6 use of remote sensing in forecasting forest fire danger conditions. In these cases, the remote  
7 sensing-based indicators were calculated prior to the fire occurrences and then compared with  
8 the actual fire occurrences for validation purposes. Some of such example studies are briefly  
9 described in Table 3.

10  
11 **Table 3**

12  
13 In order to evaluate the performance of the systems described in the scope of Akther and Hassan  
14 (2011a) and Chowdhury and Hassan (2013), we applied them to forecast the danger conditions  
15 during the catastrophic fires in 2011 taken place between 9-16 May period, in particular to Slave  
16 Lake [that incurred an estimated economic loss of \$700 million (FTCWRC 2012)] and Fort  
17 McMurray regional fires [responsible for burning of 595,000 ha of muskeg and bush (Treenotic,  
18 2011)] in Alberta (see Fig. 5). In these danger maps, the input variables (i.e.,  $T_s$ , NMDI and  
19 TVWI in Fig. 5a; and  $T_s$ , NMDI and NDVI in Fig. 5b) were acquired during 1-8 May 2011.  
20 Both of the methods demonstrated their excellent abilities to forecast these fires (i.e., 100 and >  
21 88% of the fire spots fell under “very high” to “high” danger categories for Slake Lake and Fort  
22 McMurray regional fires; see Table 4 for details).

23

1 **Fig. 5**

2  
3 **Table 4**

4  
5 It would be worthwhile to note that remote sensing-based forecasting systems would be more  
6 robust upon incorporating other critical variables, such as incident solar radiation, precipitation,  
7 relative humidity, and wind speed; human induce fire ignition sources and lightning frequency;  
8 spatially dynamic but temporally static variables, these are elevation, aspect, slope, proximity to  
9 roads, and vicinity to settlements; impact of long weekend that relates with movement of people  
10 in particular to forested areas and its relation; phenological stages of the vegetation (i.e., impact  
11 of climate on vegetation development phases); enhancement of both spatial and temporal  
12 resolutions (i.e., FFDFS); and evaluation of the systems in other ecosystems.  
13

14 **5. Synergy between operational forecasting systems and remote sensing-based methods**

15 The synergy between the operational fire danger forecasting systems and remote sensing-based  
16 methods are rarely found in the literature due to the variation in temporal (i.e., daily to hourly  
17 observations of meteorological parameters and remote sensing-derived variables acquired  
18 depending on the revisit time of the satellites) and spatial (i.e., discrete objects in case of  
19 meteorological observations and continuous field of observations for remotely sensed data)  
20 dimensions of the both systems. However, the Wildland Fire Assessment System of US Forest  
21 Service integrates multi-temporal and multi-spatial observations to forecasts a series of  
22 environmental conditions that delineate fire prone areas (Burgan et al., 1997). It combines fuel  
23 models, meteorological observations, and remote sensing-derived variable (i.e., NDVI). The

1 system has been generating FPI (i.e., synergy between NFDRS described in section 2.4 and  
2 remotely sensed NDVI) on a daily basis since 1990's (Burgan et al., 1996, 1998; Preisler et al.,  
3 2009).

4  
5 In the process of FPI development, there are three input variables (see Fig. 6). Those include: (i)  
6 10-hr dead fuel moisture conditions produced as a function of meteorological variables in the  
7 framework of NFDRS (see Fig. 4); (ii) RG-derived from AVHRR-based 7-day composite of  
8 NDVI at 1-km spatial resolution; and (iii) dead fuel moisture of extinction calculated as a  
9 function of 8-month composites of NDVI (Goward et al., 1990), land cover maps (Loveland et  
10 al., 1991), and ground-based information about fuel characteristics. Comparison between the FPI  
11 and standard NFDRS maps have revealed that FPI maps are showing better spatial variability  
12 (Burgan et al., 1998). In general, this synergy requires several input variables and also complex  
13 in nature. Thus, adopting this system in another ecosystem would require significant amount of  
14 effort.

15  
16 **Fig. 6**

17  
18 **6. Concluding remarks**

19 In this paper, we reviewed the most prominent operational fire danger rating systems and their  
20 limitations; and effectiveness of remote sensing-based methods for monitoring and forecasting  
21 fire danger conditions and their implications in operational perspective. The operational fire  
22 danger rating systems are mainly based on the meteorological variables and easily obtainable  
23 from ground-based observations. However, these systems have several weaknesses, such as (i)

1 fire danger ratings are derived from sparsely located point-source meteorological data; (ii) spatial  
2 dynamics of the variable of interest generated by employing interpolation methods, which are  
3 highly dependable on density of observation network, topography, and the type of interpolation  
4 method used; (iii) function of dead fuel moisture only; (iv) limited number of fuel types are used,  
5 as determination of fuel parameters are time-consuming, cost intensive, and dynamic over  
6 different climatic conditions; (iv) the parameters and relationships are determined empirically  
7 using field and laboratory experiments; and (v) complex rules in operational perspective. So  
8 thus, it is essential to investigate the fire danger ratings in each ecosystem independently, as it  
9 depends on the interactions between biotic and abiotic components. The changing climate  
10 conditions also urge of revisiting the parameters of the operational systems for making them  
11 more reliable and acceptable.

12  
13 The fire danger conditions are the most important part in integrated fire management due to their  
14 wide applicability (e.g., pre-fire forest conditions, delineating prescribe burning area, reduce  
15 intensive survey operations, quick detection of fire starts and deployment of firefighting units,  
16 etc.). Over the last several decades, the remote sensing-based methods have been investigated for  
17 fire danger management activities. These methods are categorized into two major groups: fire  
18 danger monitoring and fire danger forecasting systems. In particular for monitoring the fire  
19 danger conditions, several environmental variables are derived from optical, thermal, and radar  
20 images, and explored individually and/or in combination. As the fire danger conditions define  
21 the likelihood of fire occurrence, these methods are found to be unsuccessful because they  
22 attempt to capture danger conditions during and/or after the fire occurrence. However, for  
23 monitoring the forest fire related disaster, MODIS-based fire detection data are available at a

1 daily temporal scale which is under full operation and used by the fire managers for fire  
2 behaviour and suppression strategy.

3  
4 The use of remote sensing-based methods for forecasting fire danger conditions are found in the  
5 literature though limited. Most of the fire danger forecasting systems are in the moderate range  
6 and coarse spatial resolution. An NDVI-based operational system was proposed by Burgan et al.,  
7 1998 to compute the fire potential maps, but it could not be considered as a fully remote sensing-  
8 based method as it combines satellite data, meteorological observations and fuel models (detail  
9 in section 5). The methods illustrated above have the potential to functioning by incorporating  
10 some adjustments and improvements, such as enhancement of temporal resolution; acquisition of  
11 cloud free imagery by the sensors; development of enhanced gap-filling methods that would  
12 improve quality of optical and thermal images; and better understanding of the vegetation  
13 characteristics those are closely related to fire danger conditions. It is interesting to note that, the  
14 radar data has the potential to capture in the microwave spectral bands that penetrates cloud,  
15 canopy and interacts with the tree structure, and theoretically in any weather, but has greater  
16 limitations in temporal scale and operates under the commercial operating mode. The  
17 forthcoming satellites, such as National Polar-orbiting Operational Environmental Satellite  
18 System (NPOESS), RADARSAT constellations, SENTINEL, and future MODIS will enhance  
19 the forecasting methods due to the increase ability of the sensors, a constellation of satellites, and  
20 enhancement of the spectral resolution.

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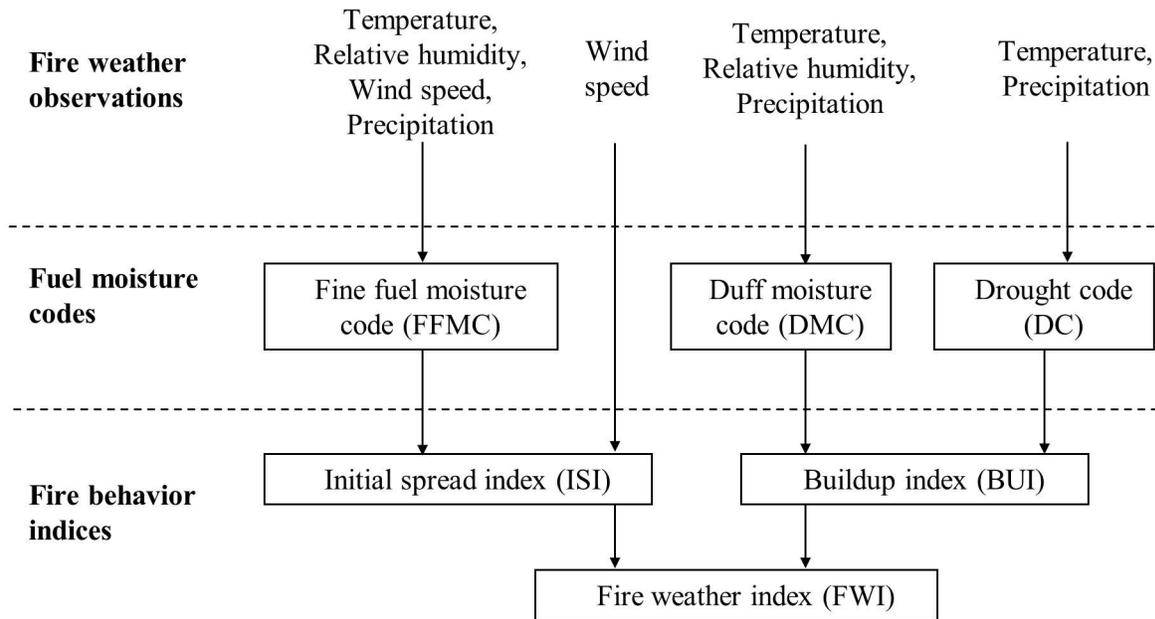
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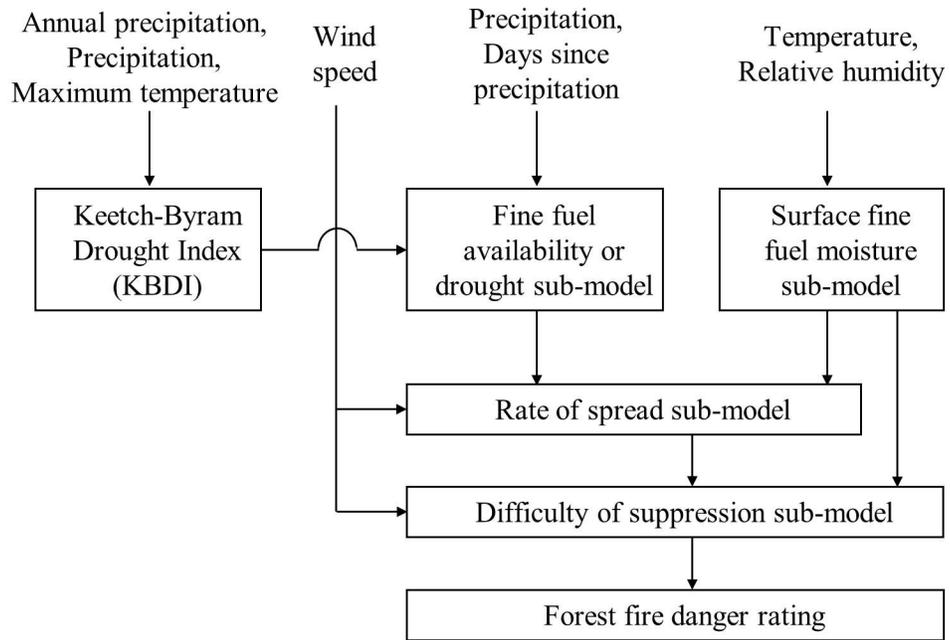
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**Fig. 1.** Simplified schematic diagram of Forest Fire Weather Index System (adapted from van Wagner, 1987)

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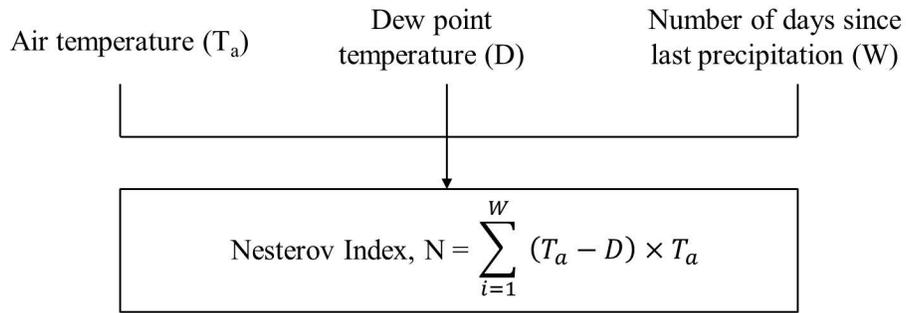
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**Fig. 2.** Schematic diagram of McArthur's Forest Fire Danger Rating System (adapted from McArthur, 1967)

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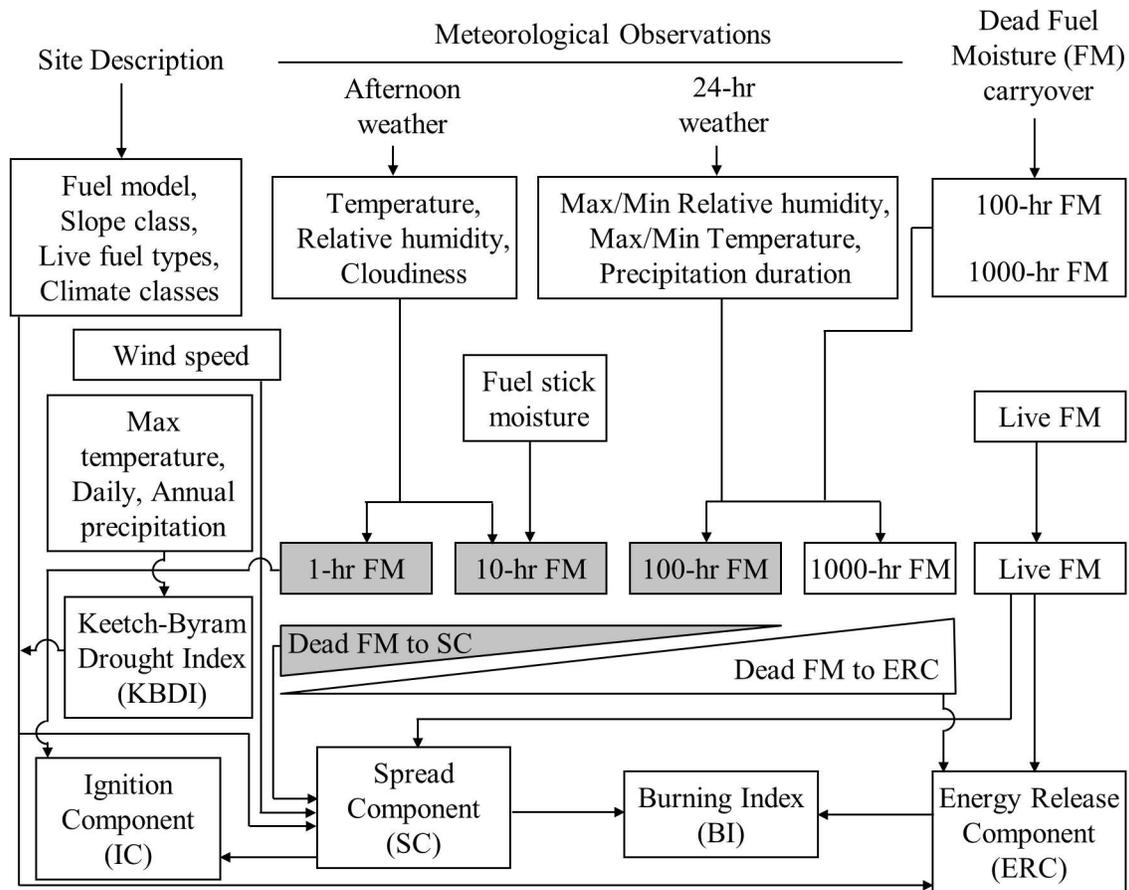
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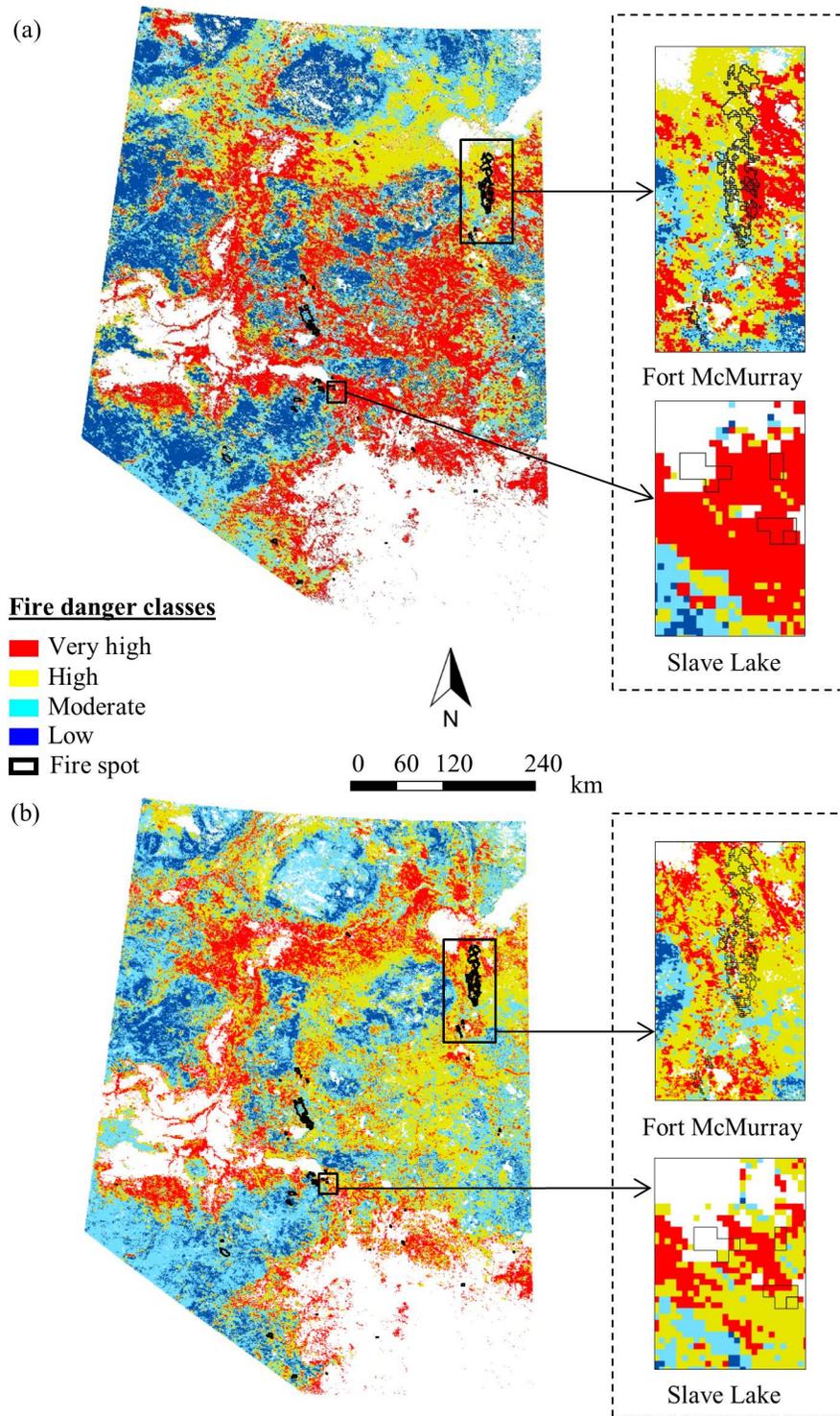
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**Fig. 3.** Schematic diagram of the Russian Nesterov Index

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**Fig. 4.** Structure of the US National Fire Danger Rating System (adapted from Burgan et al., 1988)



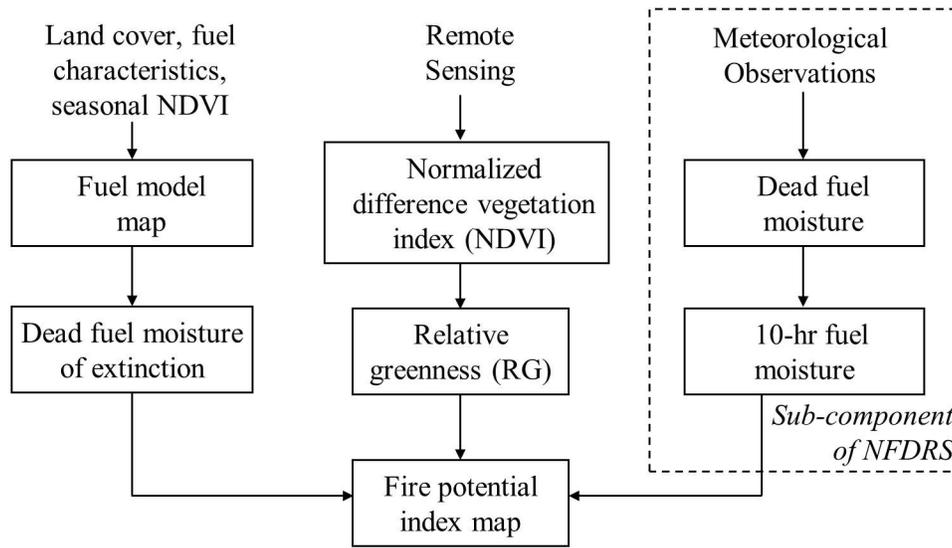
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**Fig. 5.** Fire danger map for the period 9-16 May 2011 generated by combining (a)  $T_s$ , NMDI, and TVWI; (b)  $T_s$ , NMDI, and NDVI (after Chowdhury and Hassan, 2013) variables acquired during the prior 8-day period (i.e., 1-8 May 2011).

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4 **Fig. 6.** The operational system to produce the fire potential map using remote sensing-derived  
5 variable and National Fire Danger Rating System (see Fig. 4) (adapted from Burgan et al., 1998)

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**List of tables**

**Table 1:** Example of remote sensing-based vegetation greenness indices used in fire danger monitoring studies.

Indices	Sensor	Method	Locations	Reference
NDVI	Advanced Very High Resolution Radiometer (AVHRR)	Estimated the dead fuel moisture indices (DMC, DC and BUI) of the Canadian FWI system over Canadian boreal forested ecosystems. In these cases, AVHRR-derived 10-day composites of NDVI were used. In all these studies, the correlations were reasonable (i.e., $r^2$ values in the range of 0.03-0.65).	Northwest Territories, Canada	Leblon et al., 2001
			Northern Alberta and southern Northwest Territories, Canada	Leblon et al., 2007
		Saskatchewan and Manitoba, Canada	Dominguez et al., 1994	
	AVHRR	Developed a dynamic fire risk index as a function of NDVI and a set of static variables (that include proximity to road, slope, altitude, and type of vegetation cover). In general, the decrements in NDVI-values in the temporal dimension had an influence on the increment of the fire risk.	Mediterranean forests of Tenerife Island, Spain	Hernandez-Leal et al., 2006
	SPOT-VEG	Calculated monthly-composite of NDVI and correlated with the fire frequencies determined by Moderate Resolution Imaging Spectroradiometer (MODIS)-based hotspot data; and found a reasonable accuracy (i.e., $r^2$ value of 0.34).	Mazandaran forest, northern Iran.	Ardakani et al., 2011
	MODIS	Commissioned 16-day composite of NDVI data during 2001-2006 fire seasons. The differences of indices for every 16 days were fitted to the fire frequencies; and found no relationship.	Forested regions of Galicia and Asturias, Spain	Bisquert et al., 2014

Indices	Sensor	Method	Locations	Reference
RG	MODIS	Calculated as a function of 16-day composite of MODIS-derived NDVI and VARI. They observed that VARI-based RG had a strong relationship with the observed live fuel moisture (i.e., average $r^2$ value of 0.73) over evergreen shrubs. They also evaluated VARI-based RG values in calculating FPI and then compared with the MODIS-based active fire products. These comparisons revealed reasonable correlation (i.e., $r^2$ value of 0.27).	Southern California, USA	Schneider et al., 2008
	AVHRR	Calculated from 10-day composite of NDVI and determined dead fuel moisture codes (i.e., DMC and DC) of the Canadian FWI system; and revealed good relationships (i.e., $r^2$ value in the range of 0.43-0.50).	Boreal forests of Saskatchewan and Manitoba, Canada Northern boreal forests of Alberta and southern Northwest Territories, Canada	Dominguez et al., 1994 Oldford et al., 2006
EVI	MODIS	Used 16-day composite of EVI with day of year to quantify fire activity. These models were able to differentiate the various fire danger levels having about 5% estimation errors.	Mediterranean forests, north-west Spain	Bisquert et al., 2011
		Employed the difference between two consecutive 16-day composite of EVI; and compared with the fire frequency during 2001-2006 fire seasons. It revealed that these differences were having good correlations (i.e., $r^2$ values in between 0.62 and 0.84).	Forested regions of Galicia and Asturias, Spain	Bisquert et al., 2014

Indices	Sensor	Method	Locations	Reference
SAVI, VARI, GEMI	MODIS	Used 8-day composite of surface reflectance to calculate the vegetation indices and compared with fire frequencies during 2001-2006; and found good correlations for SAVI and GEMI (i.e., $r^2$ values in between 0.60 and 0.81).	Forested regions of Galicia and Asturias, Spain	Bisquert et al., 2014

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1 **Table 2:** Example of remote sensing-based vegetation wetness indices used in fire danger  
 2 monitoring.

Indices	Sensor	Method	Locations	Reference
NDWI	MODIS	Established relations between FMC and: (i) 8-day composite of NDWI (Stow et al., 2005); and (ii) 10-day composite of NDWI (Dennison et al., 2005). The agreements were reasonable in both of the cases, such as $r^2$ value of: (i) 0.50 in case of Stow et al., 2005; and (ii) between 0.39 to 0.80 for Dennison et al., 2005.	Chaparral shrublands in California, USA	Stow et al., 2005; Dennison et al., 2005
NDWI, NDII, GVMI, MSI, SRWI	MODIS	Used 8-day composite for the index of interest and compared with the FMC and equivalent water thickness (EWT); and found good agreements in most of the cases (i.e., $r^2$ values in the range of 0 to 0.81).	Savanna forests in Senegal, West Africa	Sow et al., 2013
NMDI, NDWI	MODIS	Employed daily NMDI and NDWI-values in detecting forest fires. The performance was evaluated against the MODIS-based active fire spots during the fire occurrences and observed that NMDI performed better (i.e., matched with over 75% of the fire instances).	Southern Georgia, USA and mixed forests in southern Greece.	Wang et al., 2008
GVMI, NDVI	MODIS	Employed 8-day composite to calculate the vegetation water content (VWC) using the empirical relationship of GVMI and EWT. In addition, monthly composite of NDVI were also compared with the VWC. Both of the indices indicated that their lowest values were coincided with the fire occurrences during the period of spring fires (March to May).	Inner Mongolia plateau and Song Liao plain.	Jiang et al., 2012
NDWI, CWC	MODIS	Compared 8-day composite of these indices with the FMC; and found to have reasonable relations (i.e., $r^2$ values in the range of 0.26 to 0.44).	Northern Utah, USA	Qi et al., 2012
NDII6, NDII7, NDWI	MODIS	Used 16-day composite and compared with the FMC. Multiple regressions was performed during the period of 2000-2006 and found good relationships (i.e., $r^2$ values in the range of 0.64 to 0.70).	Chaparral shrublands in California, USA	Peterson et al., 2008

Indices	Sensor	Method	Locations	Reference
NDII6, NDII7, WI, NDWI, EWT	Airborne Visible Infrared Imaging Spectrom- eter (AVIRIS), MODIS	Employed both AVIRIS and MODIS-derived indices during the period 1994-2004 with the FMC; and found that the AVIRIS-derived indices were better correlated (i.e., $r^2$ values in between 0.72 to 0.85) than the MODIS-derived ones (i.e., $r^2$ values in between 0.55 to 0.61)	Shrublands in California, USA	Roberts et al., 2006

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1 **Table 3:** Brief description of some remote sensing-based fire danger forecasting systems.

Reference	Method	Limitations
Vidal and Devaux-Ros, 1995	Calculated water stress in vegetation as a fire risk indicator over the Les Maures Mediterranean forest in southern France. In this study, Landsat TM-derived NDVI and $T_S$ images were used during dry periods of 1990 and 1992 as well as the $T_a$ maps generated from point-source measurements available at weather stations. The scatter-plots between NDVI and $T_S-T_a$ interpreted to calculate the WDI. These plots were having trapezoid shapes and defined by dry (i.e., line of highest temperature to NDVI that represents an insufficient amount of water for evapotranspiration) and wet edges (i.e., representing the lowest temperature line to NDVI and have enough amount water for evapotranspiration) (Akther and Hassan, 2011a; Hassan and Bourque, 2009). The comparison between the real fire occurrences data and pre-fire WDI found that location where $WDI \geq 0.6$ coincided with 100% of the fires.	The major issue was the limited use of satellite data (i.e., only three images). Thus, the authors intended to extend the scope of validation, which was not materialized (Vidal, personal communication)
Guangmeng and Mei, 2004	Used MODIS-derived $T_S$ images to evaluate the forest fire risk over the evergreen and deciduous forested region in northeast China during the period of April-May of 2003. The $T_S$ was evaluated over 20x20 pixels around the fire site and found an increasing trend at least 3-days before fire occurrence.	The study did not quantify the rate of increment of the $T_S$ values.
Oldford et al., 2003	Employed AVHRR-derived $T_S$ and NDVI images for mapping the pre-fire forest conditions during 11-day period preceding to fire occurrences over the northern boreal forests in Northwest Territories, Canada. The temporal trends of both of the variables revealed that the $T_S$ -values were increasing at least 3-days earlier than the fire occurrences, while NDVI didn't show clear indications. In addition, $T_S$ values compared against the FWI code derived from meteorological variables; and revealed a good relationship for burned (i.e., $r^2$ value of 0.55) and unburned (i.e., $r^2$ value of 0.65) forested areas.	The $T_S$ alone might not be sufficient enough for forecasting danger conditions as such danger depends on so many other biophysical variables.
Akther and Hassan, 2011a	Commissioned MODIS-derived variables (i.e., $T_S$ , NMDI and TVWI at 8-day temporal scale) to forecast the forest fire danger conditions over the boreal forested region of Alberta during 2006-2008. The fire danger forecasting	Despite having reasonable agreements, two specific

Reference	Method	Limitations
	<p>system was formulated by integrating all the three variables. For example: during <math>i+1</math> period the fire danger conditions would be determined upon comparing the instantaneous values of the variable of interest and their study area-specific average values during <math>i</math> period. The danger would be high if: (i) <math>T_s</math> values would be higher or equal (i.e., high temperature might favor fire ignition); or (ii) NMDI or TVWI values less or equal (i.e., low vegetation moisture and/or surface wetness might sustain fire); in comparison to the study area-specific average values. As such, four fire danger classes were possible, such as (i) very high - all variables designated as high danger; (ii) high - at least two variables designated as high; (iii) moderate - at least one variable label as high; and (iv) low - all variables indicated low danger category. The comparison of the above mentioned fire danger categories with the real wildfire data (available from Alberta Government) revealed that ~91.6% of the fires fell under the “very high” to “moderate” categories.</p>	<p>shortcomings could be noted, such as (i) data gaps due cloud contamination in the input variables were excluded; and (ii) computation of TVWI was relatively complex and highly dependent on the skills of the professionals involved.</p>
Chowdhury and Hassan, 2013	<p>Provided two improvements in order to address the limitations described in Akther and Hassan (2011a), such as (i) a gap-filling algorithm for the input variables (i.e., <math>T_s</math>, NMDI and NDVI); and (ii) use of NDVI instead of TVWI, which not only lessen the complexity in calculation but also remove the redundancy in the input variables. The enhanced system evaluated against the MODIS fire spot data during the 2011 fire season. For example: a comparison between the fire danger categories and MODIS-derived fire spots revealed that 98.2% of fire spots fell under “very high” to “moderate” danger classes.</p>	<p>The temporal resolution (i.e., 8-day) of these maps would be considerable in the event of mid-term forecasting; however, daily-scale forecasting would be ideal from the operational point of view.</p>

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1 **Table 4:** Percentage of data under each fire danger categories using the combined input  
 2 variables of  $T_s$ , NMDI, and TVWI; and  $T_s$ , NMDI, and NDVI in comparison to the fire spot.

3

Method: Combination of input variables	Percentage of fire spots for					
	Slave Lake			Fort McMurray		
	Very high	High	Cumulative	Very high	High	Cumulative
$T_s$ , NMDI, and TVWI	97.2	2.8	100	19.4	69.3	88.7
$T_s$ , NMDI, and NDVI	33.3	66.7	100	19.3	74.7	92.0

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